



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5126

<b>SERIAL NUMBER</b> 10/699,859	<b>FILING OR 371(c) DATE</b> 10/31/2003 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3609	<b>ATTORNEY DOCKET NO.</b> CF-78	
<b>APPLICANTS</b> Howard W. Lutnick, New York, NY; Joseph Noviello, New York, NY; Michael Sweeting, Aldershot, UNITED KINGDOM; Lee Amaitis, London, UNITED KINGDOM; Jim Johnson, London, UNITED KINGDOM; <i>MTA</i>					
<b>** CONTINUING DATA *****</b> <i>MTA</i> This appln claims benefit of 60/423,618 10/31/2002					
<b>** FOREIGN APPLICATIONS *****</b> <i>MTA</i>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 02/02/2004</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and <i>MTA</i> Acknowledged <u>Examiner's Signature</u> <u>Initials</u>		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 7	<b>TOTAL CLAIMS</b> 30	<b>INDEPENDENT CLAIMS</b> 6
<b>ADDRESS</b> 64558					
<b>TITLE</b> Electronic systems and methods for providing a trading interface with advanced features					
<b>FILING FEE RECEIVED</b> 1338	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		